

Fixed Versus Adaptive Admission Control in Direct Broadcast Satellite Networks With Return Channel Systems

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Abstract—In this paper, as part of the adaptive resource allocation and management (ARAM) system (Alagöz, 2001), we propose an adaptive admission control strategy, which is aimed at combating link congestion and compromised channel conditions inherent in multimedia satellite networks. We present the performance comparisons of a traditional (fixed) admission control strategy versus the new adaptive admission control strategy for a direct broadcast satellite (DBS) network with return channel system (DBS-RCS). Performance comparisons are done using the ARAM simulator. The traffic mix in the simulator includes both available bit rate (ABR) traffic and variable bit rate (VBR) traffic. The dynamic channel conditions in the simulator reflect time variant error rates due to external effects such as rain. In order to maximize the resource utilization, both for fixed and adaptive approaches, assignment of the VBR services are determined based on the estimated statistical multiplexing and other system attributes, namely, video source, data transmission, and channel coding rates. In this paper, we focus on the admission control algorithms and assess their impact on quality-of-service (QoS) and forward link utilization of DBS-RCS. We show that the proposed adaptive admission control strategy is profoundly superior to the traditional admission control strategy with only a marginal decrease in QoS. Since the ARAM system has several parameters and strategies that play key roles in terms of the performance measures, their sensitivity analysis are also studied to verify the above foundations.

Index Terms—Admission control, multimedia satellite networks, quality-of-service (QoS), source and channel rate, utilization.

I. INTRODUCTION

RECENTLY, many satellite systems, including low earth orbiting (LEO), medium earth orbiting (MEO), and geostationary (GEO) satellites, have been proposed to support worldwide multimedia and interactive services [1]–[5]. The involvement of satellites in Internet protocol (IP) networks is due to new trends in global telecommunications, where the Internet traffic

may hold a dominant share in the total network traffic [5]. The multimedia satellite networks may facilitate interconnectivity, minimize the required wiring, and provide broadband Internet services to both fixed and mobile users. The Internet packets based on digital video broadcast (DVB)/MPEG-2 in the forward direction and asynchronous transfer mode (ATM) in the return link are standardized by ETSI for the direct broadcast satellites (DBS) with return channel systems (DBS-RCS) [2]–[5]. In order to improve both the performance and capability of the multimedia satellite networks, researches on multiple fronts are under investigation. These researches include but not limited to integrated satellite architectures, beam scheduling, on board signal regeneration, adaptive modulation and coding, multiple access, flow control, and resource allocation, etc.

In this paper, we focus on the flow control and resource allocation for a DBS network with return channel system (DBS-RCS). Without loss of generality, in the return link channel, we consider quality-of-service (QoS) reports including frame-error rates, frame delay jitter, etc. With reference to this satellite network architecture, an early study on this topic focusing on the effect of adaptive channel coding is reported in [1]. In this paper, further enhancement is introduced into the admission control and resource allocation scheme with a view to reduce both of the intrinsic impairments caused by the misestimated statistical multiplexing gain and bad channel conditions, and the achievement of better performance levels and QoS¹ guarantees.

The DBS-RCS has to overcome two major obstacles to sustain throughput in the forward link while attaining QoS. The first is the variable bit rate (VBR) traffic that cannot be exactly modeled due to inherent traffic characteristics and the second is the variation in the channel quality that continuously changes over time due to fading, propagation anomalies, jamming, etc. Specifically, in regard to the former, if capacity allocations were based on the peak-source-rates, these networks would have very low utilization due to the high burstiness of the traffic such as in the case of moving pictures expert group (MPEG) coded video [7]. Alternatively, if these networks rely on statistical multiplexing and overload links, congestion may occur during peak periods [8]. In regard to the channel constraint, the channel

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¹We assume that the small amount of changes in the Moving Pictures Expert Group (MPEG) source rates and forward error correction (FEC) rates are linear functions of QoS. Thus, given that control strategies maintain the delay and frame error rate within acceptable range, QoS is considered as a linear function of overall rate loss. We use QoS_T (7) and QoS_D (8) metrics for QoS of both VBR services and ABR services, respectively.

bit-error rate may range from having almost no impact on performance to dramatically degrading performance depending on the channel conditions. Among the channel error recovery techniques used in wireless environments are automatic repeat request (ARQ) and FEC techniques, or their combinations. However, in a DBS application, the ARQ techniques may not be suitable due to latency constraints of real-time traffic. On the other hand, there is a trade off when employing the FEC mechanism; while the FEC may enable the system to recover erroneous packets in adverse channel conditions, the FEC overhead may cause further congestion and more packet loss in the network [1], [9]. It is, therefore, of particular interest to study the integration of admission and rate control of the system transmission attributes, namely, video source (MPEG compression), data transmission and channel coding (FEC) rates for DBS-RCS.

There are many proposals for admission control and bandwidth reservation to guarantee a reasonable QoS level for wireless/mobile networks [8], [10]–[17]. Traditional admission control schemes based on Poisson process models may provide sufficient precision for 2G cellular networks [17]. Similarly, the admission control schemes for IP-based networks may provide guaranteed QoS for Differentiated Services networks [14]–[16]. However, there are stringent requirements for the multimedia satellite networks. Recently, the admission control and resource management schemes for particular satellite network architectures are presented in [1], [5], [6], [18]–[25]. *Bohm et al.* [18] present the performance of a movable boundary accessing technique, detailing the admission control and resource allocation procedure, in a multiservice satellite environment. *Koraitim et al.* enhance this approach and provide performance results for both conventional and dual movable boundary schemes [19]. *Rose et al.* present the simulation results for an end-to-end connectivity planning and admission control for a multibeam satellite network with on-board cross-connectivity [20]. *Iera et al.* propose an adaptive call management system for real-time (low-interactive) VBR traffic over GEO satellite links [21], [22]. *Zein et al.* present simulation results for the performance of the combined/fixed reservation assignment scheme for aggregated traffic [23]. *Connors et al.* model and simulate the medium access control of the broadband satellite networks [24]. *Açar et al.* present the performance of end-to-end resource management in ATM GEO satellite networks [25].

Unfortunately, most of the assumptions in the above works are either defective for the considered system, or disregard the channel problems, or ignore resource management by considering only the admission control problem. This is because, as often the case in actual situations, it is not only unclear how to achieve the “good” but even what the “good” is. In this paper, we analyze and examine this situation without unduly simplifying it. First, the adaptive resource allocation and management (ARAM) system has been developed to manage the DBS-RCS supporting ABR and VBR traffic mixtures and operating under dynamic channel conditions [26]. This requires the integration and implementation of several well-addressed and standardized subsystems to build a general resource manager. Second, we propose an admission control strategy that adaptively estimates the bandwidth expansion factor that determines the number of admitted services and integrate it into the ARAM system. Fi-

nally, we perform extensive set of simulations both for traditional (fixed) and the proposed adaptive admission control approaches.

The rest of the paper is organized as follows. Section II presents the description of DBS-RCS architecture and protocol stack. Section III presents an overview of the ARAM system for use in DBS-RCS. Section IV describes the alternative admission control concepts; fixed and adaptive. Section V presents the simulation results and sensitivity analysis. Section VI presents the conclusions of this work.

II. DBS-RCS ARCHITECTURE

Many multimedia satellite systems have been proposed to support worldwide multimedia services. In general, the following three system architectures for multimedia satellite networks may be distinguished; one-way communication, two-way communication with telephony return channel, and two-way communication with a transmitter at the user location. For the last architecture, one may have different options: return link via a high-speed DBS, or lower speed MEOs or LEOs [2], [4]–[6]. Fig. 1 depicts the DBS-RCS architecture. In multimedia satellite networks, a return link may follow Link 1, Link 2, and Link 3 in Fig. 1, [1], [2], [4], [5], [19]. In this paper, we consider a low-speed return link (Link 2) that may be provided by a constellation of LEO satellites [1]. Although any backhaul network would work, the LEO constellation enables the user to set up a DBS field terminals (DFT), where there is no terrestrial backhaul and have immediate interconnection to a remote backhaul network. Moreover, we consider a perfect return link, i.e., QoS reports are delivered error-free via the return link channel.

The overall objective of DBS-RCS traffic management is to deliver high volumes of information from source systems hosts to application platforms (APs). The DBS-RCS uses a high capacity forward link provided by a DBS to multicast voice, video, and data packets from source system hosts to DBS field terminals (DFTs) located at the satellite downlink facility. Upon receipt of these packets, the DFT routes them to the user AP which may be a multimedia personal computer or workstation.

The DFT is a combination of DBS antenna, RF system, and set-top box with an IP router. The interface between the DFT and APs may be either a local attachment by a serial link or local area network, or a remote connection by a terrestrial wireless network (Wnet in Fig. 1). Since the area of coverage of the LEO satellite may not include the source systems, the LEO downlinks return packets to an in-theater gateway. Then, the LEO gateway transmits the packets to the source system via a terrestrial backhaul network.

A. Services and Protocol Stack

The DBS-RCS supports two types of services both of which use multicast IP as the underlying protocol, but with their own individual upper layer protocols. These are ABR service for the reliable multicast of data, and VBR service for the multicast of MPEG coded video.

The ABR service is implemented using the reliable DBS multicast protocol (RDMP) that guarantees the reliable delivery of messages to receivers or identifies an error condition [27]. The

- 5) *PS_ARAM.OpenFailed(svcHandle)*. Indicates problems during service initiation. ARAM may respond by increasing *ACK_Timer_Period* by issuing *ARAM.RDMPSetParam(ACK_Timer_Period, NewValue)* if the service is experiencing excessive queueing delays.

From ARAM to PS:

- 1) *ARAM_PS&User.ServiceAdmitted(svcHandle, StartTime)*. Issued in response to *User_ARAM.Service Request*. Indicates service has been admitted to the system. Start time maybe used by PS to advertise the service on the bulletin board channel.
- 2) *ARAM_User.ServiceRefused(svcHandle, Reason)*. Indicates a service request has been refused for the shown reason.
- 3) *ARAM_PS.NetworkInitiatedMulticast(svcHandle, New MulticastMemberAddress)*. Indicates a request by ARAM to create (or append) a multicast group as a result of merging a service request with other requests for the same service.
- 4) *ARAM_PS.ServiceParameterAltered(svcHandle, ParameterName, NewValue)*. Notifies PS of a change to a service parameter.
- 5) *ARAM_PS&User.ServiceClosed(svcHandle, Reason)*. Notifies PS that the service has been stopped (dropped) for the shown reason. Note that if *User* issues a *User_ARAM.CloseServiceRequest(svcHandle)*, then ARAM will respond with *ARAM_PS&User.ServiceClosed(svcHandle)* which confirms service closure.
- 6) *ARAM_PS.RDMPSetParam(RDMPParameterName, New Value)*. Used to set RDMP parameters.

The real-time transport protocol (RTP) is based on application level framing and, hence, operates on top of existing transport protocols, primarily user datagram protocol (UDP). The real-time control protocol (RTCP) is used for monitoring and distributing information on the current level of QoS transmitted and received in a session. Moreover, to support real-time data transfer RTP protocol header has a number of important fields: payload type, sequence number, and time stamp, etc. The payload specifies the media type, e.g., MPEG video, PCM audio, etc. The time stamp may be used by a receiver to resynchronize data and to monitor packet arrival jitter. The sequence number may be used to monitor packet loss and reordering.

In the DBS-RCS, the QoS reports from an application (DFTs or receivers) for each multicast maybe gathered based on RTCP protocols, which may rule the application to send their reports asynchronously to utilize the return link channel.

III. ARAM SYSTEM OVERVIEW

The ARAM system has three main goals in performing traffic management for the multimedia satellite networks: efficient utilization of available capacity, fair access to system resources (within priority constraints), and graceful degradation of QoS_T during congestion and bad channel conditions [26]. The challenge in achieving these goals is managing the dynamic bandwidth needs of VBR traffic, as well as channel dynamics. The ARAM system addresses these goals in three ways.

- 1) Leverage the statistical multiplexing effects, (not all VBR peaks occur at the same time).

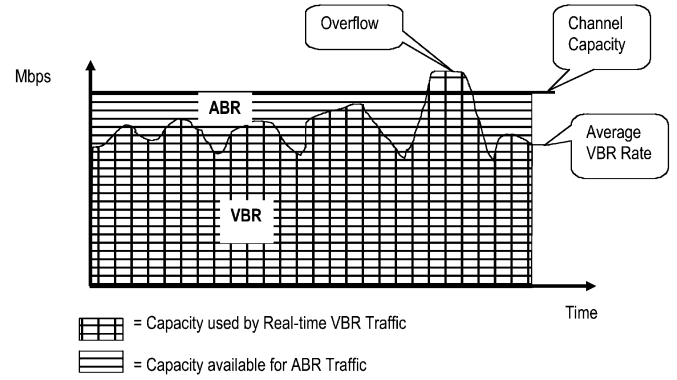


Fig. 2. Concept of multiplexing of VBR and ABR traffic.

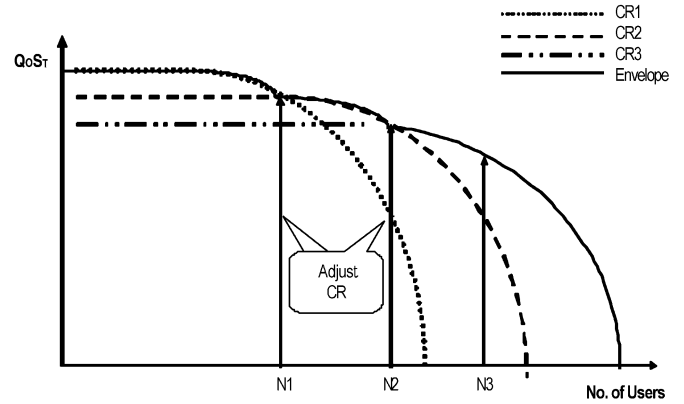


Fig. 3. ARAM QoS_T performance. CR denotes the MPEG compression rate changes with respect to nominal rates. QoS_T metric is given in (7).

- 2) Adjust the rates of ABR traffic with less stringent latency requirements.
- 3) Scale the MPEG video source rate and channel rate to operate within the bandwidth if all else fails.

Using this approach, the ARAM system maintains a balance between meeting user needs without over designing the network.

Fig. 2 depicts the multiplexing concept utilized in the ARAM system. First, the ARAM system adjusts the ABR traffic rate such that it can be accommodated in the capacity not used by the VBR traffic. The dark area in the figure conceptually indicates this. When the aggregate VBR traffic, which is the sum of bit rates of admitted VBR sources, is less than its allocated capacity, the ABR rates are increased such that capacity is not wasted. Alternatively, when VBR rates increase, ABR rates are decreased. When traffic rates exceed the gains in capacity provided by the statistical multiplexing causing an overflow condition, the rates of both ABR and VBR traffic are scaled to operate within the available capacity. Here, the MPEG video compression rate is increased and the ABR transmission rate is reduced. While this results in some degradation in QoS_T , the ARAM system provides a graceful and fair reduction to its users.

The resulting performance of the ARAM system as the number of users increases is conceptually depicted in Fig. 3. It shows the QoS_T as a function of the number of users for three compression rates ($CR1 < CR2 < CR3$). The QoS_T gradually decreases as the number of users increases until a break point is reached when the QoS_T sharply degrades. In this

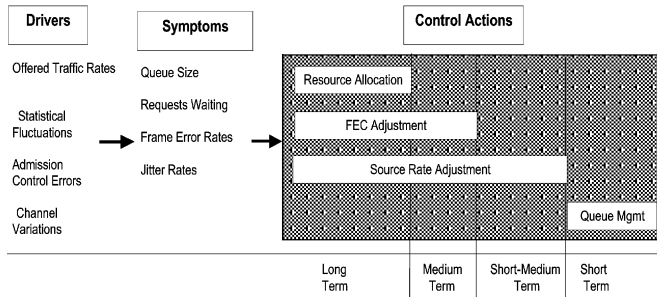


Fig. 4. ARAM algorithmic concept.

example, the ARAM system would use CR1 until the number of users exceeds N_1 . Then, it switches to CR2 and uses that compression rate until the number of users exceeds N_2 . When this occurs, it switches to CR3. It limits the maximum number of users to N_3 such that the QoS_T does not degrade below acceptable levels. As the ARAM system adjusts the compression rate in response to increased number of users, it ensures the system operates on the top envelope of the individual QoS_T performance curves indicated by the solid line in the figure.

Fig. 4 depicts the time phased control strategies utilized by the ARAM system. These control strategies are intended to respond to events in the network such as increases in the offered traffic, statistical fluctuations in individual services, or changes in the DBS channel conditions. The symptoms used to identify problems in network conference include requests waiting for service, queue sizes, error rates, and jitter rates.

In response to problems identified by analyzing these symptoms, the ARAM system activates the four time phased control strategies with different time scales: short (STC), short-to-medium (SMTC), medium (MTC), and long (LTC) term controls. As shown in the figure, each control strategy may utilize one or more of the following control actions: MPEG video compression rates, data transmission rates, FEC coding rates, and resource allocation.

After resources are assigned to users, the STC actions are limited to queue management of the ARAM system that implements rules for prioritizing packets. Following the MPEG coding scheme [7], MPEG coded VBR packets are given priority over ABR packets, and MPEG I frame packets are given priority over P or B packets. For intermediate time periods, the ARAM system adapts traffic rate adjustments for both VBR and ABR services and adjusts the FEC rate to accommodate small changes in conditions. The LTC is intended to accommodate bigger changes in network conditions. In this case, the ARAM system may have to reallocate resources if network performance degrades below the desired QoS_T , i.e., drop services. It is worth noting that alternative approaches for performing this allocation in response to either user requests or changes in network conditions are the thrust of this paper.

IV. ADMISSION CONTROL

We present two alternative approaches to admission control: fixed and adaptive. The resource allocation algorithm is responsible for assigning service requests to a DBS transponder, thereby admitting or blocking a service request based on QoS_T parameters of the requests and estimation of the available re-

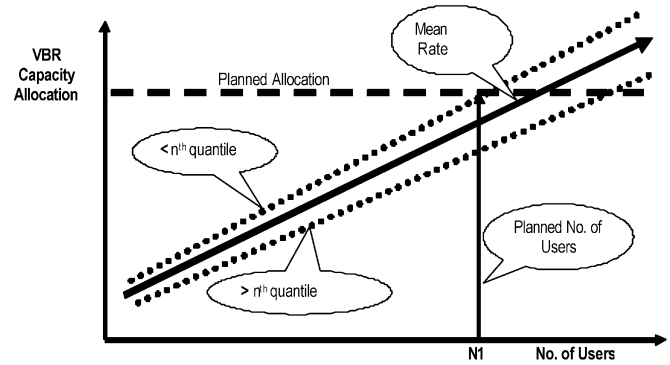


Fig. 5. Admission control concept.

sources. Since the VBR services operate with a variable data rate, this allocation assumes some statistical multiplexing of VBR services will occur. The number of services allocated is based on the assessment that the capacity allocated to the VBR services will only exceed the assigned capacity a fixed percentage of the time. As depicted in Fig. 5, the ARAM system determines the capacity to be allocated to VBR traffic and applies its admission control to determine the number of users (N_1).

However, due to variability of traffic sources, the actual offered traffic will fluctuate around the mean traffic level (solid curve). Based on its statistical traffic characteristics, the offered traffic will exceed the upper quantile (dotted line) no more than for a fixed percentage time based on the QoS_T . Thus, the number of users N_1 that can be supported with the desired QoS_T is determined by the intersection of the upper threshold (dotted line) with the horizontal planned allocation. In addition, reallocation of system resources is required to adapt to changes in traffic and channel conditions.

A. Fixed Admission Control

Fixed admission control uses the same algorithm independent of the past traffic characteristics. The bandwidth expansion factor (BEF) for VBR traffic is determined such that the probability of the aggregate instantaneous rate exceeding the fraction of the capacity assigned to the admitted VBR services will not be greater than a prespecified probability value (γ)

$$\Pr \left\{ \sum_{i=1}^N R_i \geq B_T \right\} = \int_{B_T}^{\infty} f_x(x) dx \leq \gamma$$

$$B_T = \alpha \sum_{i=1}^N R_i^m \quad (1)$$

where

- N total number of admitted VBR services;
- R_i instantaneous rate of the i th VBR service;
- B_T fraction of the capacity assigned to VBR services;
- α bandwidth expansion factor;
- R_i^m average rate of the i th VBR service;
- $f_x(x)$ probability density function (pdf) of the aggregate rate.

The pdf of the aggregate VBR traffic cannot be found analytically and its complexity depends on the model used to represent the VBR source encoder [29]. Therefore, in the ARAM

simulator, the aggregate VBR traffic rate is assumed to have a Gaussian distribution with mean equal to the sum of the individual VBR traffic means and a variance equal to the sum of their variances [26]

$$\Pr\left\{\sum_{i=1}^N R_i \geq B_T\right\} = \int_{B_T}^{\infty} \frac{1}{\sqrt{2\pi\sigma_{\text{agr}}^2}} \times \exp\left(-\frac{(x - \mu_{\text{agr}})^2}{2\sigma_{\text{agr}}^2}\right) dx \quad (2)$$

$$\mu_{\text{agr}} = \sum_{i=1}^N R_i^m \quad \text{and} \quad \sigma_{\text{agr}}^2 = \sum_{i=1}^N \sigma_i^2.$$

This estimate is an approximation of the aggregate rate, but it provides reasonably good results for moderate to large number of multiplexed bitstreams [29]. The accuracy of this approximation strongly depends on the value of predefined probability parameter (γ) since this value determines the number of admitted request, as well as the BEF (α).

In the ARAM system with fixed admission control, γ is estimated (γ_e) by measuring the fraction of dropped frames or packets over every SMTC period (10 s in the simulation). Also, the average excessive rate is estimated ($\Delta\omega$) as the difference between the sum of the instantaneous rates minus the capacity allocated to VBR services averaged over the SMTC period

$$\Delta\omega = \left(\sum_{i=1}^N R_i\right) - B_T. \quad (3)$$

Then, the average overall rates (source rate divided by FEC coding rate) of individual services are adjusted

$$W_i^{\text{new}} = \begin{cases} W_i^{\text{old}} - \frac{\Delta\omega}{N}, & \text{if } \gamma_e > \gamma \\ W_i^{\text{old}} + \frac{|\Delta\omega|}{N}, & \text{if } \gamma_e < \gamma \end{cases} \quad (4)$$

where W_i^{new} and W_i^{old} are the new and old overall rates of the i th service, respectively. If $\gamma_e > \gamma$, there is more dropping than estimated and the average overall rates of the services are reduced by increasing compression rates and/or FEC rates.

The condition $\gamma_e < \gamma$ indicates that inequality (1) holds and no control actions are necessary; instead the QoS_T can be improved by increasing overall rate allocation (if previously reduced) and resetting the compression rate. However, the inequality may hold due to under estimating the statistical multiplexing gain. This leads to low utilization and waste of system resources. Therefore, adaptive admission control is proposed to overcome this problem.

B. Adaptive Admission Control

This approach recognizes that the admission control can only approximately estimate the statistical multiplexing and attempts to use the characteristics of past traffic streams to better estimate the gain that can be achieved. Therefore, we argue that optimal bandwidth management scheme should employ adaptive admission. This is achieved by estimating the BEF adaptively for every time scale which is determined depending on the system conditions and also integrating monitored traffic measurements at the transmit queue into this estimation. Unlike the fixed admission control, the adaptive admission control adjusts the BEF such that the actual value of γ is close to the desired value that is restricted by the acceptable QoS_T limits. As in the fixed admission control, the control actions are activated when the inequality (1) is violated. Nevertheless, when low utilization of system resources is detected, adaptive control admission adjusts the BEF to correct the underestimation of statistical multiplexing gain. Furthermore, it is difficult to predict the statistical parameters of VBR traffic and the source processes might be nonstationary [8]. Therefore, the estimation of statistical multiplexing gain should be updated periodically.

Estimation of new average overall rates and corresponding BEF in adaptive admission control is determined as follows, in (5) and (6) at the bottom of the page, where B_p is the bandwidth required for the pending request. If B_p is less than the wasted fraction of the capacity due to underestimation of statistical multiplexing gain ($|\Delta\omega|$), then the average overall rate of the services need not to be reduced. Otherwise, if $B_p > |\Delta\omega|$, then reduction in overall rate is necessary, as indicated in the second line in (5). Note that if the required reduction in the overall rate violates QoS_T limits, then the pending request is not admitted. Admission of a pending request is done every MTC period (every 30 s in the simulation) only if QoS_T of ongoing services are not violated due to congestion and channel problems.

Based on traffic and available resources, the BEF is initially estimated by resource allocation and it can be decreased if pending requests are admitted, as presented in (6). Whenever there are changes in traffic (service completion and new arrivals) the BEF is reestimated. On the other hand, if statistical multiplexing gain is overestimated and the adaptive control strategies satisfy (1) at the expense of QoS_T violation, then, in LTC, the BEF is increased resulting in the termination of an ongoing service.

The underlying concept of the adaptive approach is that the choice of γ does not significantly affect the ARAM system performance when LTC is employed [26]. This is because the adaptive control strategies mitigate error in statistical multiplexing gain by fairly and gracefully degrading QoS_T in small steps.

$$W_i^{\text{new}} = \begin{cases} W_i^{\text{old}} - \frac{\Delta\omega}{N}, & \text{if } \gamma_e > \gamma \\ W_i^{\text{old}} - \max\left(0, \frac{B_p - |\Delta\omega|}{N}\right), & \text{if } \gamma_e < \gamma \text{ and there is a pending request} \\ W_i^{\text{old}} + \frac{|\Delta\omega|}{N}, & \text{if } \gamma_e < \gamma \text{ and no pending request.} \end{cases} \quad (5)$$

$$\alpha^{\text{new}} = \alpha^{\text{old}} - \frac{B_p}{NR_i^m} \quad (6)$$

For small values of $\gamma(10^{-4})$, request admission is more conservative and more services are admitted after examining the conditions under which the system operates (congestion and channel conditions). If the QoS_T of ongoing services is not violated, which is the typical case for low values of γ (due to underestimation of statistical multiplexing gain), then their QoS_T is marginally degraded to reallocate bandwidth for a pending request. For high values of $\gamma(>0.1)$, on the contrary, the ARAM system admits more requests, and then it tries to mitigate queue build ups due to the overestimation of the statistical multiplexing gain by adaptive rate (source and channel) control. Therefore, the ARAM system may override the admission control algorithm as the queue builds up for high probability values of γ . This further proves the need that the traffic resource management schemes and admission control algorithms should be harmonized to utilize the system resources. A similar harmony on this topic is presented in [21] and [22].

V. SIMULATION RESULTS

To compare the fixed and adaptive admission control approaches, we have conducted two comprehensive sets of simulation experiments using a discrete event simulator [26]. In Section V-A, we present the first set aimed to analyze the simulation results for only VBR traffic with nonfading channel conditions. In Section V-B, we present the second set aimed for the sensitivity analysis of the simulator under varying traffic and channel conditions.

The ARAM simulator performs all the control actions discussed above and includes both ABR and VBR traffic generators. We use the source model presented in [29]. The model is based on the MPEG-1 coded *Starwars* movie statistics [28], on GOP level using a superposition of two first-order autoregressive processes with lognormally distributed noise sequences (2LAR). The 2LAR source model is also verified for several other MPEG empirical bitstreams including a twenty-four hours long MPEG-2 Cable TV bitstream [29]. Once the generated GOP sizes are determined using the 2LAR model, the corresponding frame sizes are extrapolated from the generated GOP sizes using the first order statistics of the empirical bitstream. Moreover, to simplify the analysis and get a better understanding of the performance results, we have chosen the same statistical characteristics for all VBR traffic. Table I presents the traffic characteristics used in the ARAM simulator. Unless a new arrival or service completion occurs, the adaptive control algorithms are initiated at the time scales of 1, 10, 30, and 90 seconds for STC, SMTC, MTC, and LTC, respectively.

The DBS channel is modeled by semi-Markov process (SMP) with two additive white Gaussian noise (AWGN) states, good and bad, with Rayleigh distributed transition times [26]. In order to combat channel errors, we adopted the FEC codes used in digital video broadcasting (DVB) standard [2] presented in Table II.

To examine the accuracy of the Gaussian approximation, as well as lognormal approximation, Monte Carlo simulation was used to obtain the probability of aggregate rate exceeding the link capacity using the 2LAR model [29]. Fig. 6 depicts the performance results for the number of aggregated bitstreams (admitted services). We observe that the both Gaussian and lognormal approximations are accurate for high probabilities

TABLE I
TRAFFIC CHARACTERISTICS OF THE ARAM SIMULATOR

Traffic	ABR "data"	MPEG coded VBR "Starwars"
Generated traffic	Nominal Rate: 250kbps Minimum Rate: 50kbps	GOP pattern: IBBPBBPBBPBB. Frame duration: 1/24 seconds. Mean bitrate = 187kbps/GOP, Standard Deviation = 72kbps/GOP QoS _T limit: 25% of the nominal rate
RST	NA	250 seconds (or 6000 frames)
RTE	NA	250 seconds

QoS_T limit is the maximum allowable rate reduction. RST and RTE are the requested service time, and request time epoch, respectively, for each service.

TABLE II
CHANNEL CHARACTERISTICS OF THE ARAM SIMULATOR

Channel States: Good and Bad (Semi-Markov Process)	(Eb/No) _{good} =5.3 dB, (Eb/No) _{bad} =3.6 dB P _{good/good} =0.9, P _{bad/bad} =0.85 T _{good} =80 sec., T _{bad} =35 sec.
DVB standard's FEC rates	1/2, 2/3, 3/4, 5/6, 7/8
Maximum Allowable FER	10 ⁻⁴
DBS forward link capacity	22 Mbps

The channel transition probabilities are deliberately assigned as above such that multiple number of transitions occurs during the simulation.

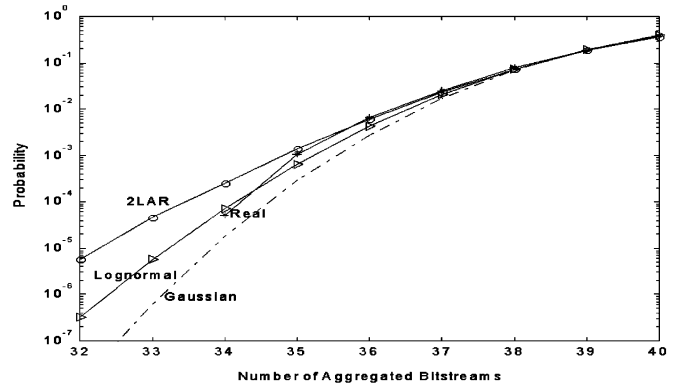


Fig. 6. Probability of aggregate rate exceeding the link capacity.

($>10^{-2}$). For low probabilities ($<10^{-2}$), on the other hand, the Gaussian approximation significantly over estimates statistical multiplexing gain and this error in estimation is larger for smaller number of admitted services. A detailed comparison of these approximations and their impact on admission control algorithms are presented in [29].

The following performance metrics were quantified by the simulation: the average DBS link utilization is calculated as the average transmitted information (bit/s)/link capacity (bit/s), the average number of active services is calculated as the average of number of services in progress per second, the total number of rejected services is calculated as the number of services rejected due to request time epoch, the fraction of dropped frames is calculated as the ratio of the number of dropped frames to the total number of frames assigned to be delivered, and the number of completed services.²

²When the simulation is ended, the services in progress are aggregated based on their completion percentage and counted for the completed services.

Quality assessment of digital video sequences is a very important issue and has received large attention from the networking community. In order to quantify the QoS, in [1], we introduced the following definitions for QoS of both VBR (QoS_T) and ABR services (QoS_D)

$$QoS_T = 1 - \frac{\Delta R_s + \Delta R_d + \Delta R_c}{R_n} \quad (7)$$

and

$$QoS_D = 1 - \frac{\Delta R_s + R_c}{R_n} \quad (8)$$

where

- ΔR_s rate reduction of source rate for VBR and ABR;
- ΔR_d rate reduction of due to filtering for VBR;
- ΔR_c rate reduction due to lost/erroneous packets in the channel;
- R_n nominal rate.

ΔR_s accounts for changes in source rate due to congestion and/or FEC rate changes to keep the overall rate fixed, while ΔR_c accounts for sum of rates of all frames that are received with error or lost in the channel. The performance metrics are calculated for each service in every second. If a VBR service is terminated, the QoS_T is set to zero for the remaining service time since no frames are delivered for that service. Thus, given that control strategies maintain the delay and frame error rate within acceptable range, this metric captures the overall rate loss. In the case of VBR, for example, this can be considered as a measure of the respective perceptual video quality with more freedom of scaling. Relative to the original coded video sequence, QoS_T below 50% may be considered very annoying, 60% is annoying, 70% is slightly annoying, 80% is perceptible but annoying, and 90% is imperceptible. In the case of ABR service, QoS_D may be considered as normalized delay.

A. Set 1: Performances of Adaptive and Fixed Admission Controls

In the first set of simulations, to simplify the analysis and show the benefits of adaptive admission control strategy, the channel was in the good state throughout the simulation and only VBR traffic was considered. Moreover, since STC consists of primitive control actions, it is used as a baseline reflecting the traffic characteristics and the load on the system. We performed simulations for STC, LTC with fixed admission control, and LTC with adaptive admission control. The total simulation time for each set is 3000 s.

The simulation results are shown in Fig. 7 depicting the performance of each approach as a function of the predefined probability (γ) given in (1).

Since STC does not utilize any adaptive rate-control strategies except slowing down ABR traffic and dropping VBR frames, it implicitly uses a fixed admission control (constant BEF). On the other hand, constant BEF reflects changes in transmission attributes as a consequence of employing adaptive rate-control strategies (SMTC, MTC, and LTC) to overcome system overloads. The number of admitted (active) services is the same for both sets and the only difference is the use of

adaptive rate control strategies in LTC with fixed admission control. Therefore, a comparison between STC and LTC with fixed admission control reflects the benefits of employing adaptive rate control strategies to mitigate congestion. For $\gamma < 10^{-2}$, adaptive rate control improves QoS_T less than 0.1% and decreases fraction of dropped frames by 0.3% but reduces utilization by 3%. On the other hand, for $\gamma > 10^{-2}$, adaptive rate control improves QoS_T by 2% and reduces fraction of dropped frames by more than 4% while decreasing the utilization by 4%. Therefore, utilizing adaptive rate control is beneficial when aggressive strategy of admission control is employed. This suggests that $\gamma \geq 10^{-2}$ is the desired operating region.

The comparison of LTC with fixed admission control and LTC with adaptive admission control shows that the adaptive admission control provides superior performance relative to the fixed admission control subject to a small degradation in QoS_T . For all probabilities (γ), the ARAM system integrated with the adaptive admission control increases system throughput (number of completed services) by 18%, and utilization by 9% at the expense of decreasing QoS_T by less than 1.4% and fraction of dropped frames by less than 1%. Moreover, the worst throughput performance in terms of completed services of the adaptive approach (for the most restrictive value of γ equal to 10^{-5}) exceeds the best performance for the fixed approach (least restrictive value of γ equal to 0.4). Similarly, the worst utilization for the adaptive approach nearly exceeds the best utilization for the fixed approach. Therefore, by adaptively changing the BEF, the system throughput and utilization can be significantly increased at the expense of subtle degradations in QoS_T .

The adaptive admission control approach rejects far fewer services than the fixed approach because the adaptive admission control utilizes system resources in a more efficient way than the fixed admission control by admitting more services. However, the fixed admission control approach drops marginally fewer frames than the adaptive admission control approach. Because, in the fixed admission control transmission attributes (source and FEC rates) are adjusted only when the inequality (1) is violated and this results in lower utilization than for the adaptive admission control approach. Nevertheless, these results are obtained for a good channel state with no ABR service. The benefits of the adaptive rate control are more prominent in the presence of channel state variations and including ABR services.

B. Set 2. Sensitivity Analysis and Further Issues

The ARAM system has several parameters and strategies that play key roles in terms of the performance measures and thus, their sensitivity analysis are required to verify the previous achievements. These are VBR source parameter for maximum allowable degradation defined by minimum QoS_T , ABR/VBR load ratio in total traffic, sensitivity of time scales, channel fading dynamics, etc. We have performed extensive set of simulations and experimental results for the verification purposes [26]. In this section, we present a set of simulations that is aimed for the sensitivity analysis. In this set, the ARAM

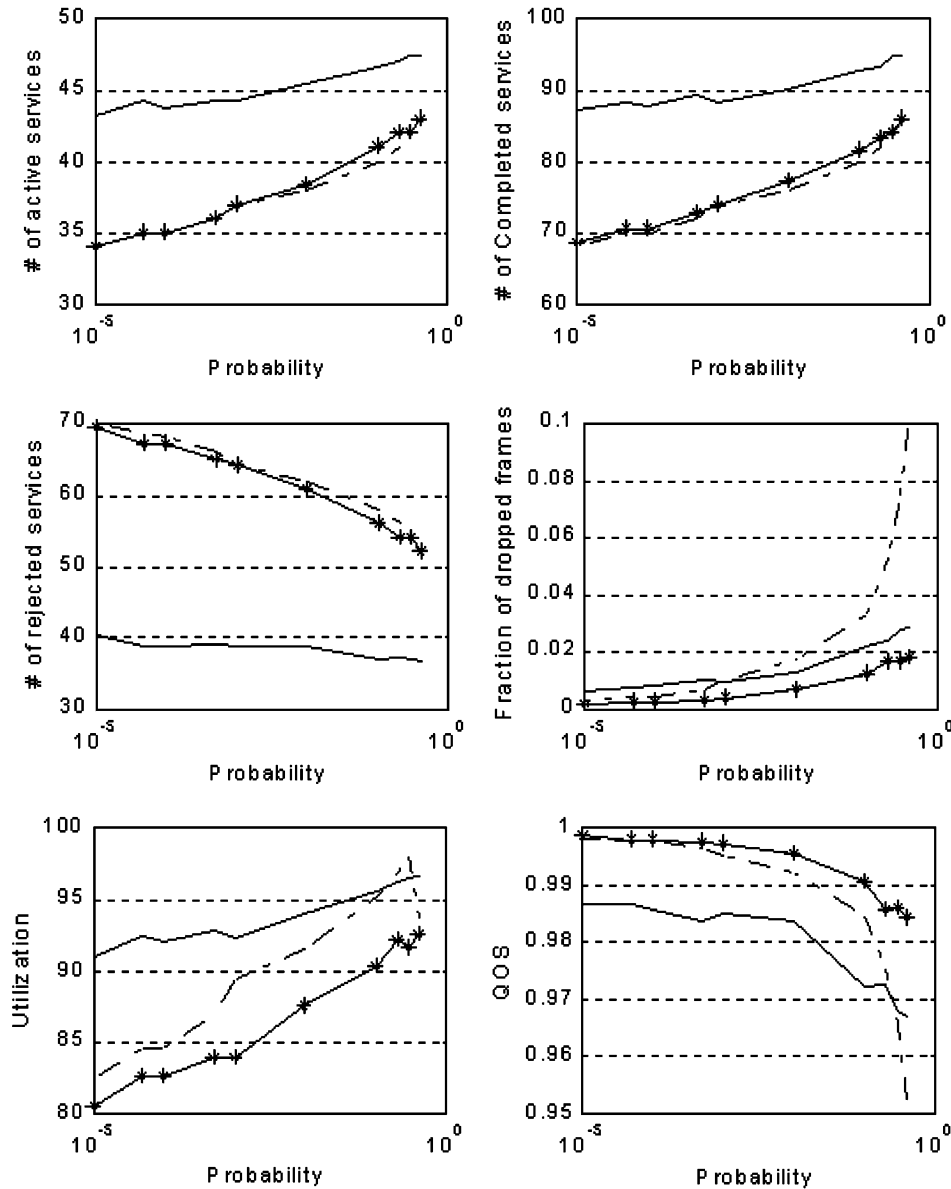


Fig. 7. Simulation results. Legend: STC (dashed), fixed admission control (star), adaptive admission control (line). The term “probability” labeled on the x axes of all figures implies the predefined probability value (γ) given in (1).

simulator is conducted by running simulation five times for the same traffic (VBR and ABR traffic) and channel (good and bad channels) characteristics, given in Tables I and II, respectively, and total duration of 3000 s. In the first simulation, no adaptive rate control is employed, the “no-control” (NC) case, in which the aggregate instantaneous rate of the admitted services is constrained to be less than or equal to the link capacity, by dropping the most recently admitted services, in the case of congestion. This criterion is chosen as a base line for comparison purposes. We tested STC, SMTC, MTC, and LTC to show the benefits of each of the time scaled control strategies discussed above.

Table III presents the statistics of the simulations for the five simulations, respectively. During the simulation, the channel initially starts in the good state and changes to the bad state several times: at time 601 to 950, 1819 to 1960, and 2101 to 2200 s, respectively. The simulation results are obtained for 62 ABR and 563 VBR traffic arrivals.

1) Overview of the Simulation Results: STC and SMTC are the primary control strategies for congestion problems. We observed that while the former helps managing real-time events as they occur, the latter smoothes out the statistical fluctuations in MPEG video traffic. In addition to preventing the congestion, MTC helps managing the admission control and resolves temporary channel problems. While LTC gives the last shape of the control strategies by completely resolving the channel problems and maintaining the QoS_T with an efficient DBS link utilization. All control strategies achieve much higher utilization than NC, yet with considerably smaller number of terminated VBR services. This is achieved with occasional dropping of VBR frames during congestion intervals. However, this represents relatively small fraction (3–6%) of the total number of frames delivered and, correspondingly, will have negligible impact on perceptual video quality. Also, the average number of admitted (active) and total number of completed services is larger when control strategies are employed. Evidently, the con-

TABLE III
SUMMARY OF SIMULATION STATISTICS FOR 563 VBR
AND 62 ABR SERVICE ARRIVALS

	NC	STC	SMTC	MTC	LTC
<i>The number of admitted ABR services</i>					
Mean	0.55	2.99	2.82	1.58	1.39
Standard Deviation	0.92	1.96	1.59	1.30	1.32
<i>The number of admitted VBR services</i>					
Mean	32.86	36.98	37.11	38.9	37.6
Standard Deviation	4.39	1.15	0.94	4.35	3.38
<i>Total number of Completed ABR services</i>					
	62	58	59	60	60
<i>Total number of Completed VBR services</i>					
	293	352	357	353	351
<i>Total number of rejected VBR requests</i>					
	0	88	83	43	59
<i>Total number of terminated VBR services</i>					
	211	23	28	62	53
<i>Average fraction of dropped frames (%)</i>					
	NA	5.2	3.7	2.9	3.8
<i>DBS link utilization (%)</i>					
Mean	86.0	97.1	96.9	94.8	95.1
Standard Deviation	10.80	3.77	3.72	5.43	5.04
<i>Percentage of time VBR services using FEC rate of:</i>					
1/2	0	0	0	3.1	0.1
2/3	0	0	0	21.2	40.6
3/4	100	100	100	16.7	15.6
5/6	0	0	0	14.2	18.3
7/8	0	0	0	44.8	25.4
<i>Average QoS_D</i>					
	1	0.265	0.202	0.445	0.481
<i>Average QoS_T</i>					
	0.474	0.464	0.531	0.888	0.934
<i>QoS_T Statistics: (only completed services)</i>					
Mean	0.811	0.793	0.785	0.907	0.950
Standard Deviation	0.340	0.340	0.337	0.163	0.061
<i>Mean (Good Channel)</i>					
	0.999	0.980	0.970	0.958	0.959
<i>Mean (Bad Channel)</i>					
	0.206	0.192	0.188	0.743	0.922
<i>QoS_T Statistics: (with terminated services)</i>					
Mean	0.631	0.746	0.734	0.797	0.850
Standard Deviation	0.279	0.321	0.320	0.176	0.080
<i>Mean (Good Channel)</i>					
	0.777	0.919	0.906	0.858	0.862
<i>Mean (Bad Channel)</i>					
	0.161	0.188	0.180	0.603	0.811

control strategies enable utilization of the statistical multiplexing gain to the maximum possible extent, with graceful degradation in QoS_T during congestion and bad channel conditions. Moreover, Table III shows that by integrating all the adaptive rate control mechanisms we can achieve and maintain high QoS_T and increase the number of completed services in the presence of traffic, and channel variations.

2) *Admission Control*: The effectiveness of admission control strategy also depends on acceptable QoS_T limits (up to 25% of the nominal rate is assumed as the maximum allowable degradation in QoS_T). The adaptive admission control algorithm is intentionally designed to apply an aggressive multiplexing strategy that might often lead to overloads due to mis-

timated soft margin, which is mitigated by employing STC and SMTC strategies. As shown in Table III, the average link utilization for control strategies is at least 10% higher than that for NC. More importantly, when we compare the average number of admitted (services in progress), total number of rejected, total number of terminated, and total number of completed services for these simulations, we conclude that the proposed adaptive admission control strategy works successfully.

3) *Congestion Effects*: We analyze the performance results for the NC, STC, and SMTC simulations. These strategies do not respond to the channel problems, thus, we can only resolve the congestion problems. Due to error in estimation of statistical multiplexing and the burstiness of generated VBR traffic, the congestion occurs during heavy loads. The highest number of suspended services is observed in NC, 211 VBR services, whereas it is 23 and 28 for STC and SMTC, respectively. It is also observed that NC has the worst performance for VBR services, in terms of the average number of admitted and total number of completed services and average DBS forward link utilization. For example, when STC is employed, a significant improvement in average utilization (13% more than for NC) and the number of completed VBR services (59 more services than for NC) is apparent in Table III. Although this is achieved with occasional frame dropping, with rate of 0.052 during congestion intervals, SMTC reduces it to 0.037 by handling the statistical fluctuations more effectively. On the other hand, the average delay for ABR services is zero (i.e., QoS_D is one) for NC, whereas it is 0.265 and 0.202 for STC and SMTC, respectively. This is because the ABR services in NC are not delayed during congestion intervals, while the first stage of the control strategy for STC and, thus, for SMTC was to delay the ABR services.

When we compare the average QoS_T for VBR metric presented in Table III, we observe that for SMTC and STC they are close to each other 0.74, and they are about 17% superior to that of NC. However, the average QoS_T values will be misleading unless we observe the fluctuation in the results. STC and SMTC outperform NC in the good channel environments, while they all suffer in bad channels. Moreover, the average QoS_T values for these simulations are around 0.2 in the bad channels, which is due to the initially assigned FEC coding rate of 3/4. If one initially assigns more powerful FEC rates, the average QoS_T value may change (increase or decrease), yet the numbers of both admitted and completed services decrease. It is worth reminding that the excessive FEC overhead may cause low QoS_T as a result of more packet loss due to congestion in the network [1].

4) *Channel Effects*: We analyze the impact of channel on MTC and LTC strategies. In MTC, we resolve channel and congestion problems jointly by integrating source and channel rate adaptations with the admission control strategy. The QoS_T for VBR increases gradually in the bad channel period by gradually improving the FEC rate in MTC. When a bad channel state lasts duration of several QoS reports, MTC fails to respond this scenario since MTC may not respond to this variation unless physical layer (signal strength) estimates are not gathered. LTC reduces the degradation of QoS_T by being able to exploit more information in system optimization.

Finally, from Table III, we can see that by providing more information to the system the FEC rate distribution becomes more peaked, i.e., it exhibits less randomness. Consequently, the average QoS_T is improved with slight degradation in the total number of completed, rejected and dropped services.

In this paper, we assume that the changes in the source rates and FEC rates are linear functions of QoS_T . For practical purposes, this would be reasonable only if the changes are within a small threshold. We may need to investigate a new QoS metric at the application layer that integrates both source and FEC changes based on experimental evaluations. Both Gaussian and lognormal approximations for QoS threshold was only acceptable for high probabilities ($>10^{-2}$), however, when we want to operate at lower probabilities, we need to develop more accurate aggregate traffic approximations [29]. Finally, the channel dynamics and resolving the channel problems play an important role in determining the number of admitted services. Unfortunately, the ARAM system can respond to the channel problems caused by the slow fading (shadowing) channel. Unless a proactive strategy at the expense of waste of resources is integrated into the ARAM system [26], the problems caused by the fast fading channel can not be combated due to the latency of the return link messages.

VI. CONCLUSION

In this paper, we explore the admission control strategies for a DBS-RCS. The system supports ABR and real-time VBR traffic mixtures and operates under dynamic channel conditions. The ARAM system is introduced to manage the DBS-RCS. We proposed a new admission control strategy that adaptively estimates the bandwidth expansion factor that determines the number of admitted services and integrated it into the ARAM system.

We have performed extensive set of simulations. The simulation results show that the performance of the adaptive admission control is superior to the traditional (fixed) admission control strategy in terms of the performance measures. Since the ARAM system has several parameters and strategies that play key roles in terms of the performance measures, their sensitivity is studied to verify the above foundations for different traffic and channel conditions.

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